

# Key Recovery Attacks on Approximate Homomorphic Encryption with Non-Worst-Case Noise Flooding Countermeasures

---

Qian Guo<sup>1</sup> Denis Nabokov<sup>1</sup> Elias Suvanto<sup>2</sup> Thomas Johansson<sup>1</sup>

August 16, 2024

<sup>1</sup>Dept. of Electrical and Information Technology, Lund University, Lund, Sweden  
{qian.guo,denis.nabokov,thomas.johansson}@eit.lth.se

<sup>2</sup>ENS Lyon, France  
elias.suvanto@ens-lyon.fr



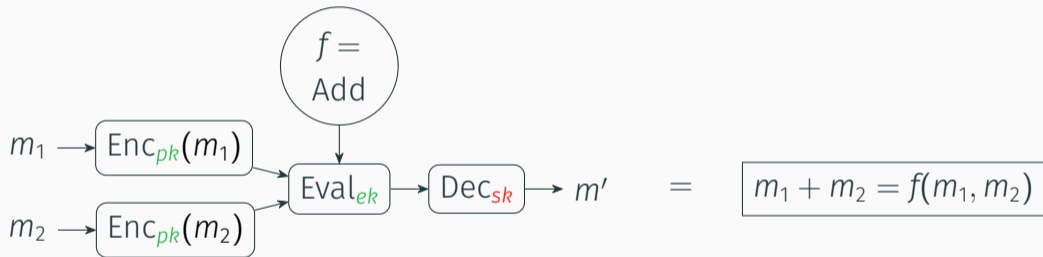
**LUND**  
UNIVERSITY

# Contribution

- Show that non-worst-case noise estimation for approximate homomorphic computation can lead to key recovery
- Works even for a passive adversary
- Show such an attack for popular library OpenFHE

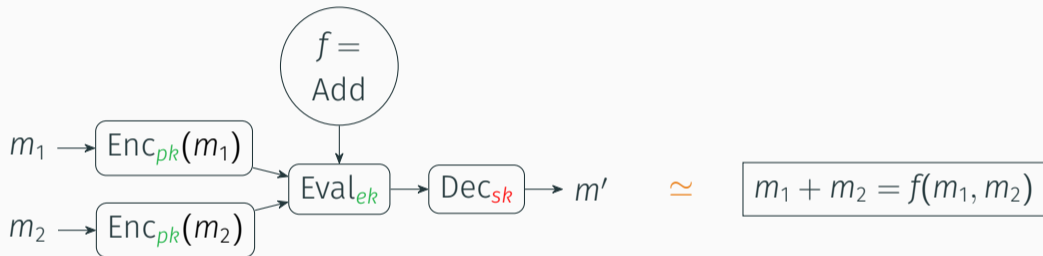
# Homomorphic encryption (HE)

- HE scheme = public key encryption with special operations over ciphertexts



- Works for other functions  $f$ , such as Multiplication or more general functions
- $pk$ ,  $ek$  — public keys,  $sk$  — secret key

# Approximate HE



- Final result  $m'$  deviates slightly from desired output

# CKKS details

- CKKS — the most used approximate HE scheme

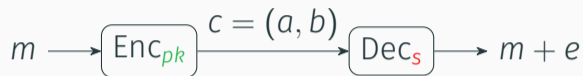
$$b = \underbrace{a \cdot s + e}_{\text{RLWE sample over } \mathbb{Z}_q[x]/(x^n+1)} + m$$

$$c = (a, b)$$

- Decryption of ciphertext  $c$  produces

$$\text{Dec}_s(c) = b - a \cdot s = \underbrace{m + e}_{\text{approximate output}}$$

# Announcing decryption results [LM21]

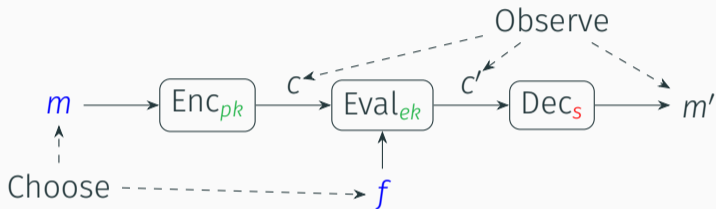


$$\underbrace{b}_{\text{(known) part of ciphertext}} - \underbrace{m + e}_{\text{(known) approx. decryption result}} = a \cdot s \implies \text{leak of } s$$

- **Passive** adversary can retrieve the secret key

# IND-CPA<sup>D</sup> security model [LM21]

- IND-CPA<sup>D</sup> is the adaptation of IND-CPA to approximate HE



- New decryption function  $\text{Dec}_s^D((a, b)) = \text{Dec}_s((a, b)) + e_{\text{new}}$

$$b - \underbrace{m + e + e_{\text{new}}}_{\text{new approx. decryption result}} = \underbrace{a \cdot s - e_{\text{new}}}_{\text{new RLWE sample}}$$

# Noise growth

- During homomorphic operations noise inside ciphertext grows
- Added noise  $e_{\text{new}}$  have to grow with it
- If  $e_{\text{new}}$  grows **slower**, we show that key recovery is possible, i.e. there is no IND-CPA<sup>D</sup>
- Specifically, the adversary by choosing an input and function to be evaluated gets

$$b' = a \cdot s + e_{\text{attack}},$$

where  $e_{\text{attack}}$  depends on ratio between ciphertext and added noise



# Real-world noise estimation

- Large  $e_{\text{new}}$  negatively affects performance
- Thus, HE libraries generally use one of the two approaches:
- Empirical noise estimation
  - Owner of the secret key estimates the expected noise before the real computation
  - Contradicts IND-CPA<sup>D</sup> since there are assumptions on the input distribution and evaluation function
  - Makes  $e_{\text{new}}$  to be constant
- Average-case estimation
  - Various heuristics are used when computing the noise bound during homomorphic operations
  - Generally assumes that ciphertexts are independent, e.g. addition produces noise  $\sqrt{2}$  times larger, not 2 times

# Real-world noise estimation

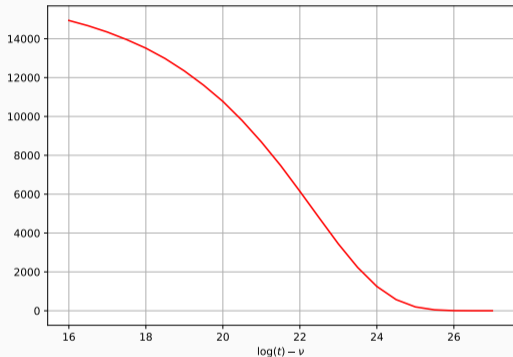
- Large  $e_{\text{new}}$  negatively affects performance
- Thus, HE libraries generally use one of the two approaches:
- Empirical noise estimation
  - Owner of the secret key estimates the expected noise before the real computation
  - Contradicts IND-CPA<sup>D</sup> since there are assumptions on the input distribution and evaluation function
  - Makes  $e_{\text{new}}$  to be constant
- Average-case estimation
  - Various heuristics are used when computing the noise bound during homomorphic operations
  - Generally assumes that ciphertexts are independent, e.g. addition produces noise  $\sqrt{2}$  times larger, not 2 times

# Noise estimation

- Both specified approaches lead to key recovery when an adversary chooses a big enough function  $f$  and special inputs
- We claim that worst-case estimation should be implemented if the decryption result is published


# Attack on OpenFHE

- Attack considers average-case estimation
- We take evaluation function
$$g_t(c_0, \dots, c_{t-1}) = \sum_{i=0}^{t-1} c_i$$
- Submit inputs to compute  $g_t(c_0, \dots, c_0)$
- Using  $t = 2^{57}$  it is possible to remove noise completely
- Note:  $g_t(c_0, \dots, c_0)$  can be computed fast by doubling the ciphertext  $\log t$  times
- We recover the secret key in about a **minute**



Weight of  $e_{\text{attack}}$ ;  $\nu = 30$

Thank you for your attention

-  Baiyu Li and Daniele Micciancio, *On the security of homomorphic encryption on approximate numbers*, Advances in Cryptology – EUROCRYPT 2021, Part I (Zagreb, Croatia) (Anne Canteaut and François-Xavier Standaert, eds.), Lecture Notes in Computer Science, vol. 12696, Springer, Cham, Switzerland, October 17–21, 2021, pp. 648–677.